

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04-13244
First Inventor FINN, Bruce L.
Title FOLDABLE MODULAR LIGHT
Express Mail Label No. EV 400 332 953 US

17858 U.S. PTO
10/788603



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 37]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 30]
5. ☒ Oath or Declaration [Total Sheets 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/704,639

Prior application information: Examiner NEGRON, I. Art Unit: 2875
For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 25189 OR ☒ Correspondence address below

Name Daniel M. Cislo Esq.
Address Cislo & Thomas LLP
233 Wilshire Blvd. Suite 900
City Santa Monica State California Zip Code 90401
Country USA Telephone (310)451-0647 Fax (310)394-4477

Name (Print/Type) Daniel M. Cislo Esq. Registration No. (Attorney/Agent) 32,973
Signature Daniel M. Cislo Esq. Date 2/27/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of inventor(s):

FINN, Bruce L.

Serial Number: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Filed herewith

Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

For: **FOLDABLE MODULAR LIGHT DIFFUSION BOX**

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER and FEE CALCULATION

Dear Sir:

Enclosed is a patent application and accompanying documents submitted regarding the patent application captioned above. Applicant claims small entity status.

Fees in the amount of \$455.00 are enclosed as calculated below:

		Claims Previously Paid For	Extra Claims	Fee	Fee Paid
Total Claims	14	20	0	\$ 9	\$ 0.00
Independent Claims	2	3	0	\$ 43	\$ 0.00
Total Due for (New) Claims					\$ 0.00

Filing Fee (if applicable)	\$ 385.00
Total Filing Fee	\$ 385.00

If the Examiner believes that a telephone or other conference would be of value in expediting the prosecution of the present application, enabling an Examiner's amendment or other meaningful discussion of the case, Applicant invites the Examiner to contact Applicant's representative at the number listed below.

It is believed that the application is in a condition for allowance; and Applicant respectfully requests the Examiner to pass the application on to allowance. It is not believed that any additional fees are due; however, in the event any additional fees are due, the Examiner is authorized to charge Applicant's Attorney's Deposit Account No. 03-2030.

Respectfully submitted,

CISLO & THOMAS LLP

Date: February 27, 2004



Daniel M. Cislo

Reg. No. 32,973

Tel.: (310) 451-0647 x128

DMC/ASJ/cdh

Enclosures

Patent application and accompanying documents

CISLO & THOMAS LLP
233 Wilshire Boulevard, Suite 900
Santa Monica, California 90401
Tel: (310) 451-0647
Fax: (310) 394-4477
Customer No.: 25,189
www.cislo.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of inventor(s):

FINN, Bruce L.

Serial Number: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Filed herewith

Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

For: **FOLDABLE MODULAR LIGHT DIFFUSION BOX**

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mailing Label No. EV 400 332 953 US

Deposited: February 27, 2004

Dear Sir:

Enclosed herewith are the following:

1. Acknowledgement Postcard;
2. Check No. 01283 in the amount of \$385.00 for filing fees;
3. Utility Patent Application Transmittal Letter (1 page) and Transmittal Letter and Fee Calculation noting small entity status (2 page);
4. Utility Patent Application, for a total of 67 pages, including:
 - a. 30 specification pages;
 - b. 6 pages of claims;
 - c. 1-page abstract;
 - d. 30 drawing pages;
5. Declaration and Power of Attorney for Patent Application (3 pages)

6. Preliminary Amendment (10 pages)
7. Request for Use of Customer Number (1 page);
8. Nonpublication Request (2 page);
9. Information Disclosure Statement (3 pages) with enclosed Information Disclosure Citation (Form PTO-1449, 2 pages); and
10. This Certificate of Express Mailing (2 pages).

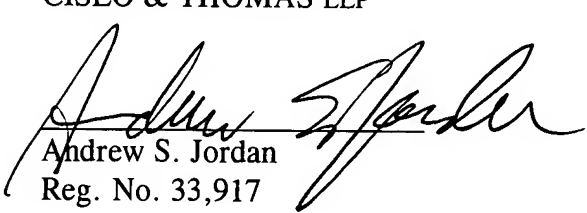
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R., Section 1.10, on the date indicated above and is addressed to:

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Respectfully submitted,

CISLO & THOMAS LLP

Date: February 27, 2004


Andrew S. Jordan
Reg. No. 33,917

CISLO & THOMAS LLP
233 Wilshire Boulevard, Suite 900
Santa Monica, California 90401-1211
Customer No.: 25,189
Tel: (310) 451-0647
Fax: (310) 394-4477
www.cislo.com

ASJ/mfn

Enclosures

As listed above
c:\my documents\templates\patent application\certificate of xpress mail.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of inventor(s):

FINN, Bruce L.

Serial Number: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Filed herewith

Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

For: FOLDABLE MODULAR LIGHT DIFFUSION BOX

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**NONPUBLICATION REQUEST AND
CERTIFICATION UNDER
35 U.S.C. 122(b)(2)(B)(i) and 37 C.F.R. § 1.213(a)**

Dear Sir:

Under 37 C.F.R. § 1.213(a), Applicant respectfully requests that the application captioned above and filed herewith not be published pursuant to 35 U.S.C. § 122(b) and/or 37 C.F.R. § 1.211.

///

///

///

///

Applicant certifies that the invention(s) disclosed in the said application has not been and will not be subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

CISLO & THOMAS LLP

Date: February 27, 2004



Daniel M. Cislo

Reg. No. 32,953

Tel.: (310) 451-0647 x128

DMC/ASJ/cdh

CISLO & THOMAS LLP
233 Wilshire Boulevard, Suite 900
Santa Monica, California 90401
Tel: (310) 451-0647
Fax: (310) 394-4477
Customer No.: 25,189
www.cislo.com

z:\tmdocs\04-13244\nonpub request 13244 finn foldable modular light diffsuion box.doc,

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of inventor(s):

FINN, Bruce L.

Serial Number: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Filed herewith

Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

For: **FOLDABLE MODULAR LIGHT DIFFUSION BOX**

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR USE OF CUSTOMER NUMBER

Dear Sir:

Applicant's representative has the following customer number lodged with the Patent Office:

25,189

The Office is respectfully requested to use this customer number (the bar code for which is above) for correspondence purposes as well as for online access via the PAIR system with respect to the present application.

Respectfully submitted,

CISLO & THOMAS LLP

Date: February 27, 2004


Daniel M. Cislo

CISLO & THOMAS LLP
233 Wilshire Boulevard, Suite 900
Santa Monica, California 90401-1211
Customer No.: 25,189
Tel: (310) 451-0647
Fax: (310) 394-4477
www.cislo.com
DMC/ASJ/mfn

z:\mdocs\04-13244\request cumstomer number 12344 finn foldable modular light diffusion box.doc